

Seasoned Financial Services Lawyer W. Thomas Conner Joins Carlton Fields

January 23, 2023

Washington, D.C. – Carlton Fields is pleased to announce that seasoned financial services lawyer W. Thomas Conner has joined the firm’s Financial Services Regulatory Practice as a shareholder in Washington, D.C. Conner has a wealth of experience handling complex regulatory matters involving life insurance and annuity products, exchange traded funds (ETFs) (including ETFs registered only under the Securities Act of 1933), mutual funds, investment advisers, commodity pools, and commodity pool operators. Conner bolsters Carlton Fields’ leading Financial Services Regulatory Practice with more than three decades’ experience working with Securities and Exchange Commission (SEC)-registered insurance and annuity products and entities. His extensive track record includes successful representation of financial services clients in navigating the complex regulatory requirements governing insurance and securities products. He represents two of the world’s largest insurance companies issuing fixed and variable insurance products and has advised ETF sponsors on a wide range of ETFs. “Our Carlton Fields team has known Tom for many years,” said Shareholder Ann Began Furman, who co-chairs the firm’s [Financial Services Regulatory Practice](#). “He is a trusted thought leader in the securities industry and we are delighted to welcome him to the firm.” Added Washington, D.C.’s Office Managing Shareholder Richard Choi, “Tom is a strong addition to Carlton Fields’ brain trust of financial services attorneys who have devoted decades to counseling clients on regulatory, compliance, and enforcement matters. He has superb skillsets that will augment our collective expertise in representing the financial services industry.” Prior to joining Carlton Fields, Conner was a shareholder at Vedder Price, where he focused his practice on investment services. He also served as Vice President and General Counsel of the National Association for Variable Annuities (NAVA) (now known as the Insured Retirement Institute (IRI)), where he was responsible for developing IRI’s regulatory affairs program, and was a staff member of the SEC in the Office of Disclosure and Investment Adviser Regulation and the Office of Insurance Products. “Joining Carlton Fields is a wonderful opportunity for me to practice law with talented lawyers who are well-respected and well-known for their securities and insurance regulation expertise. I am happy to add to the great mix of experiences and knowledge at the firm.”

Conner said. Conner earned his J.D. from The George Washington University Law School, his M.B.A. from The George Washington University, and his B.S.B.A. from Suffolk University.

Featuring



W. Thomas Conner

Related Practices

[Financial Services Regulatory](#)

Related Industries

[Securities & Investment Companies](#)