Zack A. Babbitt

ASSOCIATE

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CONNECT

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Overview

Zack Babbitt advises clients on financial services regulatory matters, with experience spanning securities regulation, insurance issues, tax and ERISA compliance, and federal regulatory obligations. He has advised clients on multi-state insurance regulations affecting annuity products, IRS and tax considerations involving variable insurance contracts and trusts, SEC Rule 38a-1 compliance reviews, state securities law matters, and ADV filings relating to outside business activities and affiliations. He also counsels clients on FCC and FTC advertising requirements in the insurance context.

Zack's practice also includes government law and regulatory consulting. He has assisted clients with matters involving the National Environmental Policy Act (NEPA), the Clean Water Act, and related federal permitting and compliance issues.

In addition, Zack has experience in business litigation and disputes, including matters involving protected health information, noncompete and confidentiality claims, and patent ownership disputes.

Zack gained litigation and government experience with the Maryland Attorney General's Office and the Federal Public Defender for the Eastern District of Virginia, where he supported federal trial and appellate matters through legal research, motion practice, and factual investigations. He also worked as a conflicts specialist at an international law firm, developing experience in legal risk assessment and client-intake compliance. He maintains an active pro bono practice, including housing appeals through the Washington Legal Clinic for the Homeless.

Areas of Focus

Practices

- Financial Services Regulatory
- Life, Annuity, and Retirement Litigation
- Life, Annuity, and Retirement Solutions
- Securities Litigation and Enforcement
- Securities Transactions and Compliance

Industries

- Life, Annuity, and Retirement Solutions
- Securities & Investment Companies

Insights

05.01.2026
Guaranteed Lifetime Income Products: The Federal Regulatory Framework and Their Growing Demand

05.01.2026
An Enforcement Tuneup: Following SEC's Lead, FINRA Looks Under Its Own Hood

01.28.2026
"Smart Contracts" Are Mostly Obtuse

01.12.2026
Balancing Retail Private Market Access and Investor Protection: SEC Committee Weighs Regulatory Remix

Credentials

Education

- Georgetown University Law Center (J.D., 2025)
  - Articles Editor, *Georgetown Journal of Legal Ethics*
- Radford University (B.S., 2015)

Bar Admissions

- District of Columbia