



# Austin L. Jackson

ASSOCIATE

LOS ANGELES

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## Overview

Austin Jackson's practice focuses on complex securities litigation, commercial litigation, and enforcement matters involving the Securities and Exchange Commission, the Department of Justice, the Financial Industry Regulatory Authority, and various state attorneys general offices.

Austin also conducts internal investigations for entities and boards of directors related to securities laws, anti-corruption, and anti-money laundering.

In addition, Austin is dedicated to representing pro bono clients, recently securing the early release of an elderly and ailing client who had been incarcerated for more than two decades.

## Experience

- Represented chief executive officer of microcap companies in high-profile DOJ enforcement action alleging a pump-and-dump securities fraud scheme.
- Represented senior executive of cryptocurrency company in SEC and DOJ enforcement actions.
- Represented foreign financial institution in DOJ investigation into alleged bribery of a U.S. congressman.
- Represented telecommunications corporation in DOJ investigation into anti-corruption and anti-money laundering.

- Represented investment adviser in SEC investigation into securities fraud.
- Represented chief executive officer of international marketing company in DOJ investigation into alleged violations of CAN-SPAM Act.
- Represented chief executive officer in SEC receivership action following a multibillion-dollar Ponzi scheme.
- Represented international companies and their chief executive officer in enforcement action by the Maryland Securities Division alleging a securities fraud scheme in connection with Costa Rican real estate.
- Represented chief financial officer of aquaculture companies in shareholder derivative lawsuit alleging securities fraud scheme.
- Represented broker-dealer and registered representatives in FINRA customer arbitrations.
- Represented Oath Keeper in DOJ enforcement action related to the January 6, 2021, U.S. Capitol breach (pro bono).
- Represented elderly and ailing client seeking compassionate release from prison (pro bono).

## Areas of Focus

### Practices

- Securities Litigation and Enforcement
- Antitrust and Trade Regulation
- Blockchain and Digital Currency
- Class Actions
- Financial Services Regulatory
- International Litigation & Arbitration
- Litigation and Trials
- Media, Entertainment, Music & Sports
- SEC Enforcement
- White Collar Crime & Government Investigations

### Industries

- Securities & Investment Companies

## Insights

05.09.2024

Can Government Use Criminal Fraud Statute to Get Around “Personal Benefit” Requirement for Insider Trading?

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04.19.2024

Rethinking the Scope of Insider Trading: A Closer Look at the SEC’s “Shadow Trading” Win  
*ABA Litigation Newsletter*

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03.12.2024

SEC Seeks to Extend Insider Trading Law to ‘Shadow Trading’ in SEC v. Panuwat  
*Daily Journal*

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## News

01.31.2022

Carlton Fields Expands Its Securities Litigation and SEC Enforcement Defense Practice with Addition of Thomas V. Sjoblom and Austin L. Jackson

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01.11.2022

Carlton Fields Continues Strategic Growth in Los Angeles

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01.10.2022

Carlton Fields Adds 5 Attys To Growing LA Office

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## Professional & Community Involvement

- American Bar Association
  - Litigation Section
  - Criminal Justice Section
- Federal Bar Association
  - Securities Law Section
  - Criminal Law Section
  - Federal Litigation Section

- Los Angeles County Bar Association

## Credentials

### Education

- California Western School of Law (J.D., 2017)
- North Carolina State University (B.S., 2013)

### Bar Admissions

- California

### Court Admissions

- U.S. District Court, Central District of California
- U.S. District Court, Southern District of California