

4 Ways New York May Make DOL Look Like a Fiduciary Kitten

January 08, 2018

Carlton Fields' Financial Services – Regulatory Practice Chair, Ann Black and attorneys Jamie Bigayer and Adriana Perez, analyzed a new proposed version of New York State's Suitability in Annuity Transactions regulation. *ThinkAdvisor* cited their work in the article, "4 Ways New York May Make DOL Look Like a Fiduciary Kitten." Among the top takeaways: regulators broadening the scope of the regulation to include life insurance; making the regulations apply to any discussions that a consumer could interpret as "advice," and increasing the number of disclosures related to a transaction. [Read the article.](#)

Featuring



Ann Young Black

Related Practices

Financial Services Regulatory
Life, Annuity, and Retirement Litigation

