



Stephen Choi

ASSOCIATE

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CONNECT

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Overview

Stephen Choi's practice focuses on transactional, regulatory, and compliance matters in the insurance and financial services industries arising under state insurance laws, federal and state securities laws, and related agency rules and regulations.

Prior to joining Carlton Fields, Stephen served as a judicial intern to the Honorable Amit P. Mehta of the U.S. District Court for the District of Columbia. He also completed internships with the U.S. Securities and Exchange Commission's Division of Investment Management, and the U.S. Commodity Futures Trading Commission's Division of Enforcement. He is fluent in Korean.

All Insights

- 05.05.2021 [Minimum Standard Nonforfeiture Rate – Green Light, Red Light](#)
- 05.05.2021 [States Spring Into Action With Best Interest Rules for Annuities](#)
- 12.15.2020 [A New Dawning for Electronic Insurance and Investment Product Transactions and Document Delivery?](#)
- 12.15.2020 [NAIC Groups Adopt 2021 Charges](#)
- 09.03.2020 [Back to School for Annuity and Life Disclosures and Illustrations](#)
- 09.03.2020 [NAIC Virtual Block Party](#)
- 09.03.2020 [OCIE Turns Up Heat on Private Fund Adviser Compliance](#)
- 08.15.2020 [SEC Approves Summary Prospectuses, Layered Disclosure for Variable Insurance Contracts](#)
The Investment Lawyer

04.13.2020	Securities Regulators' Rx for COVID-19
10.04.2019	Tangled Web of Illustration Issues
07.11.2019	SEC Staff Asks for Time: Feels Pressure From Automatic Filing Effectiveness
04.04.2019	Financial Products: States Continue to Puzzle Together Standards and Required Disclosures for Professionals Selling or Providing Advice
12.18.2018	New Jersey Fiduciary Rule Pre-Proposal
10.01.2018	Testing SEC Interpretation of Rule 10B-5 for Auer Deference <i>PIABA Bar Journal</i>
10.01.2018	NIST Provides Guide and Example Solution for IT Asset Management

All News

09.04.2018	Four Associates Join Carlton Fields
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Credentials

EDUCATION

- George Washington University Law School (J.D., 2018)
 - *The George Washington International Law Review*
- Boston College Carroll School of Management (B.S., 2013)

BAR ADMISSIONS

- District of Columbia

LANGUAGES

- Korean

Areas of Focus

PRACTICES

- Cybersecurity and Privacy

INDUSTRIES

- Securities & Investment Companies

- Financial Services Regulatory
- Life, Annuity, and Retirement Solutions