



Stephen Choi

ASSOCIATE

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CONNECT

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Overview

Stephen Choi's practice focuses on transactional, regulatory, and compliance matters in the insurance and financial services industries arising under state insurance laws, federal and state securities laws, and related agency rules and regulations.

Prior to joining Carlton Fields, Stephen served as a judicial intern to the Honorable Amit P. Mehta of the U.S. District Court for the District of Columbia. He also completed internships with the U.S. Securities and Exchange Commission's Division of Investment Management, and the U.S. Commodity Futures Trading Commission's Division of Enforcement. He is fluent in Korean.

All Insights

- 07.11.2019 SEC Staff Asks for Time: Feels Pressure From Automatic Filing Effectiveness
- 04.04.2019 Financial Products: States Continue to Puzzle Together Standards and Required Disclosures for Professionals Selling or Providing Advice
- 12.18.2018 New Jersey Fiduciary Rule Pre-Proposal
- 10.01.2018 Testing SEC Interpretation of Rule 10B-5 for Auer Deference
- 10.01.2018 NIST Provides Guide and Example Solution for IT Asset Management
- 09.04.2018 Four Associates Join Carlton Fields

Credentials

EDUCATION

- The George Washington University Law School (J.D., 2018)
 - *The George Washington International Law Review*
- Boston College, Carroll School of Management (B.S., 2013)

BAR ADMISSIONS

- District of Columbia

LANGUAGES

- Korean

Background

- Intern, Division of Enforcement, U.S. Commodity Futures Trading Commission, Washington (2018)
- Student Honors Intern, Division of Investment Management, U.S. Securities and Exchange Commission, Washington (2017)
- Intern to the Honorable Amit P. Mehta, U.S. District Court, District of Columbia, Washington (2016)
- Legal Corporate Counsel Intern, Aegon Asset Management, Baltimore (2016)

Areas of Focus

PRACTICES

- Cybersecurity and Privacy
- Financial Services Regulatory
- Life, Annuity, and Retirement Solutions

INDUSTRIES

- Securities & Investment Companies